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7 Attorneys for Defendants  
WACHOVIA SECURITIES, LLC and MARK WIELAND

8  
9 **UNITED STATES DISTRICT COURT**  
10 **NORTHERN DISTRICT OF CALIFORNIA**  
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12 VIOLETTA ETTARE, ) Case No.: C 07 4429 JW (PVT)  
13 )  
14 Plaintiff, )  
15 vs. ) **STIPULATION FOR BINDING**  
16 ) **ARBITRATION BEFORE FINRA AND**  
17 ) **TO STAY PROCEEDINGS PENDING**  
18 ) **COMPLETION OF ARBITRATION**  
19 JOSEPH E. BARATTA, an individual, )  
20 TBIG FINANCIAL SERVICES, INC., form )  
of business unknown, WACHOVIA )  
SECURITIES, LLC, a Delaware Limited )  
Liability Company, MARK WIELAND, an )  
individual, and DOES 1-25, )  
21 Defendants. )  
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21 WHEREAS, Defendants JOSEPH E. BARATTA, TBIG FINANCIAL  
22 SERVICES, INC., WACHOVIA SECURITIES, LLC, and MARK WIELAND (collectively,  
23 "Defendants") filed motions to compel arbitration and stay the District Court  
24 Proceedings (the "Motions to Compel") with this Court on March 6, 2008;

25 WHEREAS, Plaintiff VIOLETTA ETTARE filed a Statement of Non-  
26 Opposition to Defendants' Motions to Compel on May 19, 2008;

27 WHEREAS, the Motions to Compel are set to be heard in this Court on  
28 June 16, 2008;

1 WHEREAS, a Case Management Conference is also currently scheduled for  
2 June 16, 2008, before this Court;

3 IT IS HEREBY STIPULATED by and between Plaintiff and Defendants,  
4 through their respective counsel of record, that:

5 1. Plaintiff will submit any and all claims against Defendants to  
6 binding arbitration before the Financial Industry Regulatory Authority ("FINRA") and  
7 arbitrate the dispute pursuant to the Arbitration Rules of FINRA;

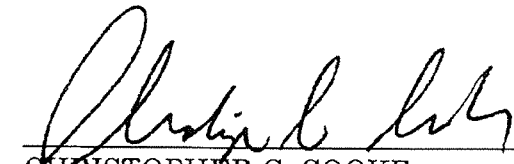
8 2. All defendants agree to submit Plaintiff's claims against them, and  
9 any claims they have against Plaintiff, to binding FINRA arbitration and to arbitrate  
10 the dispute pursuant to the Arbitration Rules of FINRA.

11 3. The Case Management Conference set for June 16, 2008 will be  
12 cancelled; and

13 4. The entire District Court action shall be stayed pursuant to 9 U.S.C.  
14 § 3.

15 IT IS SO STIPULATED.

16  
17 DATED: June 4, 2008

  
CHRISTOPHER C. COOKE  
STEPHEN S. WU  
COOKE KOBRICK & WU LLP  
Attorneys for Plaintiff  
VIOLETTA ETTARE

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21  
22 DATED: \_\_\_\_\_, 2008

GILBERT R. SEROTA  
DIANA CAMPBELL MILLER  
HOWARD RICE NEMEROVSKI CANADY  
FALK & RABKIN  
Attorneys for Defendants  
JOSEPH E. BARATTA and TBIG  
FINANCIAL SERVICES, INC.

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28 [SIGNATURES CONTINUED ON NEXT PAGE]

1 WHEREAS, a Case Management Conference is also currently scheduled for  
2 June 16, 2008, before this Court;

3 IT IS HEREBY STIPULATED by and between Plaintiff and Defendants,  
4 through their respective counsel of record, that:

5 1. Plaintiff will submit any and all claims against Defendants to binding  
6 arbitration before the Financial Industry Regulatory Authority ("FINRA") and arbitrate the  
7 dispute pursuant to the Arbitration Rules of FINRA;

8 2. All defendants agree to submit Plaintiff's claims against them, and any  
9 claims they have against Plaintiff, to binding FINRA arbitration and to arbitrate the dispute  
10 pursuant to the Arbitration Rules of FINRA.


11 3. The Case Management Conference set for June 16, 2008 will be  
12 cancelled; and

13 4. The entire District Court action shall be stayed pursuant to 9 U.S.C. § 3.  
14 IT IS SO STIPULATED.

15  
16 DATED: \_\_\_\_\_, 2008

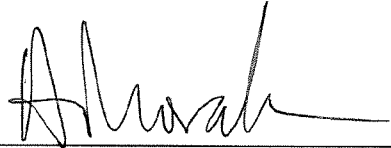
CHRISTOPHER C. COOKE  
STEPHEN S. WU  
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Attorneys for Plaintiff  
VIOLETTA ETTARE

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19  
20  
21 DATED: \_\_\_\_\_, 2008

  
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HOWARD RICE NEMEROVSKI CANADY  
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Attorneys for Defendants  
JOSEPH E. BARATTA and TBIG FINANCIAL  
SERVICES, INC.

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26 [SIGNATURES CONTINUED ON NEXT PAGE]  
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3 DATED: June 5, 2008  
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AUDETTE PAUL MORALES  
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Attorneys for Defendants  
WACHOVIA SECURITIES, LLC and  
MARK WIELAND